

**Pipeline and Hazardous Materials Safety Administration  
Hazardous Materials Registration Program Summary Report**

The Pipeline and Hazardous Materials Safety Administration's (PHMSA), Hazardous Materials Emergency Preparedness Grant Program (the program) is funded by registration fees collected from hazardous materials (hazmat) shippers and carriers who offer for transportation or transport certain hazmat in intrastate, interstate, or foreign commerce. These fees fund training and planning grants, monitoring and technical assistance, curriculum development, and staffing costs. Registration fees also fund the publication and distribution of the Emergency Response Guidebook. Federal hazardous material transportation law (49 U.S.C. 5101 et seq., federal hazmat law) requires offerors and transporters of certain hazardous materials to file a registration statement and to pay an annual registration fee. Each registration year (RY) is the one-year period from July 1 to June 30. The fiscal year (FY) is the accounting period for the federal government that begins on October 1 and ends on September 30.

PHMSA maintains a fund balance in the Registration Fee receipt account at a level sufficient to fund current needs. Current needs include the amount needed to fund the return of prepaid fees. Below is a schedule of available fund balance that indicates \$ 8,149,466 is available in the receipt account to pay the first obligations in FY 2020:

**Pipeline and Hazardous Materials Safety Administration**

Schedule of Available Fund Balance as of 9/30/2019

	FY 2017	FY 2018	FY 2019
<b>Beginning Fund Balance (10/1)</b>	<b>\$ 62,413,638</b>	<b>\$63,682,841</b>	<b>\$70,424,654</b>
Plus: Fees Collected	24,679,987	27,993,076 <sup>1</sup>	25,632,980 <sup>1</sup>
Less: Payments	(23,410,784)	(21,251,263)	(22,257,626)
<b>Ending Fund Balance</b>	<b>63,682,841</b>	<b>70,424,654</b>	<b>73,800,008</b>
<b>Obligations</b>			
Obligations	(50,087,662)	(52,857,089)	(59,193,778)
Recoveries	2,427,716	125	2,353,686
<b>Obligations Net of Recoveries</b>	<b>(47,659,946)</b>	<b>(52,856,964)</b>	<b>(56,840,092)</b>
<b>Unencumbered Fund Balance</b>	<b>16,022,895</b>	<b>17,567,690</b>	<b>16,959,916</b>
Less: Registration Fees Received in Advance	(7,391,775)	(9,823,600)	(8,810,450)
<b>Available Fund Balance</b>	<b>\$ 8,631,120</b>	<b>\$7,744,090</b>	<b>\$8,149,466</b>

<sup>1</sup>/1 reconciled fee collections based on receivables in the financial system

Of the available fund balance on September 30, 2019, the program plans to carry the entire balance forward in to FY 2020 for use the following amounts:

**Pipeline and Hazardous Materials Safety Administration**

Schedule of Available Fund Balance After Planned Uses

<b>Available Fund Balance 10/1/2019</b>	<b>\$ 8,149,466</b>
<b>Planned Uses</b>	
Less: Grants Program Costs	(1,534,184)
Less: HMIT Grants	(3,764,000)
Less: SPST Grants	(941,000)
<b>Available Fund Balance after Planned Uses</b>	<b>\$ 1,910,282</b>

The following table shows the count of registrations and total registration fee collections for (registration and processing fees) in FY 2019

**Schedule of Registration Collections Received in FY 2019**

<i>Number of registrations</i>	36,272
Total collections	\$ 26,197,975
Processing Fees	\$ 590,350
Net Collections (Total Collections Less Processing Fees)	\$ 25,607,625

The following table shows the number of registrations and total collections for registrations and processing fees from the hazardous materials registrants from program inception (FY 1992 through FY 2019).

**Schedule of Registration Collections since Program Inception (FY 1992 through FY 2019)**

<i>Number of Registrations</i>	805,606
Total collections	488,208,723
Registration Fees	462,259,126
Processing Fees	25,949,597

Along with each registration, the registrant completes a short survey. The survey information is used to record the hazardous materials activities during the prior calendar year. If a person did not engage in any of these activities, then they indicate “Did Not Engage in Any of the Activities”. The registrant also indicates whether the hazardous materials were handled as a Shipper, Carrier or Other. “Other” are registrants (other than the shipper or carrier) who are responsible for performing pre-transportation functions required by Hazardous Materials Regulations (ex. freight forwarders, package consolidators, etc.):

**Schedule of Registrants in FY 2019 That Included Survey Information Other Than Category G: “Did Not Engage in Any of the Activities” in the Previous Year**

Total Count of registrations (Registrations that include any survey information)	23,539
Count of registrations for FY 2019	21,547
*Count that marked “Shipper”	15,030
Count of registrations for FY 2019	13,625
Small business	9,745
Not small business	3,880
*Count that marked “Carrier”	10,946
Count of registrations for FY 2019	10,295
Small business	8,235
Not small business	2,060
**Count of that marked “Other”	1,793
Count of registrations for FY 2019	1,662
Small business	1,182
Not small business	480

\* Number includes 3,170 that marked both “Carrier” and “Shipper”

\*\* Number includes 1075 that also marked “Carrier” or “Shipper” or both

Registrants pay a different rate depending on their self-reported classification as a Small or Large Business Company. Below is a summary of fees collected by business type of and in total.

**Schedule of Registration Collections Received in FY 2019 for Small, Large Businesses, and Combined**

	<b>Total Number of Registrants</b>	<b>Percentage</b>	<b>Registration Collections</b>	<b>Processing Fees</b>
<b>Fee Collections in FY 2019 - Small Businesses (or Not-for-profit)</b>				
Collections Received for Current RY(2019)	16,467	56.1%	4,535,075	377,450
Prior Years Late Registrations Received in Current RY (2019)	3,292	11.2%	879,275	75,625
Collections Received for Future RY (2020)	5,791	19.7%	1,454,725	0
Collections Received for Future RY (2021)	3,819	13.0%	959,400	0
<b>Small Business Total</b>	<b>29,369</b>	<b>100%</b>	<b>\$7,828,475</b>	<b>\$453,075</b>
<b>Fee Collections in FY 2019 -Other than Small Businesses/Large Business</b>				
Collections Received for Current RY(2019)	5,090	73.7%	13,122,325	123,525
Prior Years Late Registrations Received in Current RY (2019)	606	8.8%	1,538,500	13,750
Collections Received for Future RY (2020)	718	10.4%	1,854,000	0
Collections Received for Future RY (2021)	489	7.1%	1,264,325	0
<b>Other Than Small Businesses Total</b>	<b>6,903</b>	<b>100%</b>	<b>\$17,779,150</b>	<b>\$137,275</b>
<b>Combined Fee Collections in FY 2019 (Small and Large Business)</b>				
Collections Received for Current RY (2019)	<b>21,557</b>	59.4%	<b>17,657,400</b>	<b>500,975</b>
Prior Years Late Registrations Received in Current RY (2019)	<b>3,898</b>	10.7%	<b>2,417,775</b>	<b>89,375</b>
Collections Received for Future RY (2020)	6,509	17.9%	3,308,725	0
Collections Received for Future RY (2021)	4,308	11.9%	2,223,725	0
<b>Total FY 2019 Collections</b>	<b>36,272</b>	<b>100%</b>	<b>\$25,607,625</b>	<b>\$590,350</b>

Oftentimes in registering a company will pay arrears in registration fees. In FY 2019, we collected \$2,417,775 in prior year registration fees as displayed by year the original fee was payable below:

**Schedule of Registration Collections for Prior RYs 1992 to 2018 Collected During FY 2019**

Registration Years	Number of Registrants	Registration Collections	Processing Fee
1992-2000	8	2,000	400
2000-2001	2	550	100
2001-2002	3	825	25
2002-2003	4	1,100	25
2003-2004	4	500	75
2004-2005	4	500	25
2005-2006	4	500	0
2006-2007	6	2,225	125
2007-2008	7	1,750	100
2008-2009	8	2,725	75
2009-2010	12	4,450	225
2010-2011	13	10,225	125
2011-2012	13	10,225	50
2012-2013	13	7,900	200
2013-2014	18	8,250	250
2014-2015	29	25,850	450
2015-2016	48	30,600	750
2016-2017	88	59,550	1,225
2017-2018	208	166,400	3,750
2018-2019	3,406	2,081,650	81,400
<b>Total Registrations Received in RY 2019</b>	<b>3,898</b>	<b>2,417,775</b>	<b>89,375</b>

**Registration Fees Collected in Advance\* for Use in Future FYs Obligations**

	Registrations Collections	Processing Fee
Funds collected in RY 2018 for FY2020	3,278,000	\$0
Funds collected in RY 2019 for FY 2020	3,308,725	50
Funds Collected in RY 2019 for use in FY 2021	2,223,725	
<b>Total Funds Collected in Advance for Use in Future FYs</b>	<b>\$ 8,810,450</b>	<b>\$50</b>

\* Registrants can register for up to 3 years in advance