

Pipeline and Hazardous Materials Safety Administration  
Hazardous Materials Registration Program  
Registration Program Summary Report  
FY 2016

The Pipeline and Hazardous Materials Safety Administration's (PHMSA), Hazardous Materials Emergency Preparedness Grant Program (the program) is funded by registration fees collected from hazardous materials (hazmat) shippers and carriers who offer for transportation or transport certain hazmat in intrastate, interstate, or foreign commerce. These fees fund training and planning grants, monitoring and technical assistance, curriculum development, and staffing costs. Registration fees also fund the publication and distribution of the Emergency Response Guidebook (ERG).

The EP Grants program is limited in size by sequestration of mandatory expenditures. The total amount of sequestration for the 3 years - FY 2014 to FY 2016 is \$7,435,100.

Below is an annual summary of the fee collections and fund balance. The obligations in FY 2014 to FY 2016 were limited by sequestration.

**Pipeline Hazardous Safety Materials Administration**

Hazardous Materials Emergency Preparedness Fund  
Schedule of Available Fund Balance

	<b>FY 2014</b>	<b>FY 2015</b>	<b>FY 2016</b>
<b>Opening Fund Balance (10/1)</b>	<b>\$ 58,610,858</b>	<b>\$ 59,187,192</b>	<b>\$ 64,188,615</b>
Plus: Registration Fees Collected	23,444,570	28,323,132	25,448,576
Less: Payments	(22,854,936)	(23,321,709)	(27,223,553)
<b>Ending Fund Balance (9/30)</b>	<b>59,200,492</b>	<b>64,188,615</b>	<b>62,413,638</b>
<b>Obligations</b>			
Less: Obligations	(47,308,302)	(51,593,902)	(44,737,992)
Plus: Deobligations	4,282,680	5,941,649	20,313
<b>Net Obligations</b>	<b>(43,025,622)</b>	<b>(45,652,253)</b>	<b>(44,717,679)</b>
<b>Fund Balance</b>	<b>16,174,870</b>	<b>18,536,362</b>	<b>17,695,959</b>
Less: Registration Fees Received in Advance	(7,551,825)	(9,777,775)	(8,757,825)
<b>Available Fund Balance</b>	<b>\$ 8,623,045</b>	<b>\$ 8,758,587</b>	<b>\$ 8,938,134</b>

Of the available fund balance in FY 2016, the program plans to carry the entire balance forward to FY 2017 for use on the following:

**Pipeline Hazardous Safety Materials Administration**

Schedule Available Fund Balance After Planned Uses

<b>Available Fund Balance 10/1/2016</b>	8,938,134
<b>Planned Uses Prior to Next Registration Year</b>	
Less: HMIT Grants	-3,207,295
Less: SPST Grants	-931,000
Less: Processing Fees	-618,750
Less: Program Costs	-1,754,935
<b>Available Fund Balance after Planned Uses</b>	<u><u><b>\$2,426,154</b></u></u>

Registration fees are collected throughout the calendar year for prior, current, and future periods. During FY 2016 we collected Registration Fees as shown below:

**Registration and Registration Fees Received in FY 2016**

Number of Registrations Received	37,585
Total Registration Fees (Includes Processing Fee) *	\$ 25,448,576
Processing Fee Collections	\$ 618,750

\*Net of refunds

The registration program began in FY 1992 and continues. Since its inception, PHMSA has collected Registration Fees as shown below:

**Registration fees Received from FY 1992 through FY 2016**

Number of Registrations Received	734,196
Total Registration Fees (Includes Processing Fee) *	\$408,972,673
Processing Fee Collections:	\$ 24,161,422

\*Net of refunds

Registrants identify themselves at the point of registration as a Shipper, Carrier, Other, or indicate they “Did Not Engage in Any of the Activities”. In FY 2016 the count of registrants that self-identified as a Shipper, Carrier, or Other are as follows:

**Count of Registrant Types**

<b>Number that marked “Shipper”</b>	<b>14,508</b>
Small business	10,669
Not small business	3,839
<b>Number that marked “Carrier”</b>	<b>12,966</b>
Small business	10,983
Not small business	1,983

<b>Number that marked "Other"</b>	<b>1,371</b>
Small business	1,002
Not small business	369

Note: 4,012 registered as both "Carrier" and "Shipper"

Registration Fees are collected from both Small Businesses and Other than Small Businesses. For FY 2016 the breakdown of Registration Fees between these business types is as follows:

**Registration Fees Received During FY 2016 by Type of Business**

	<b>Total Number of Registrants</b>	<b>Percentage</b>	<b>Registration Collections (Less Processing Registration Fees)</b>	<b>Processing Fees</b>
<b>Small Businesses (or Not-for-profit):</b>				
Fees Received for Current Reg. Period (2016-2017)	17,795	58%	\$4,455,950	\$410,025
Prior Years Registrations Received in Current Reg. Period (2016-2017)	3,184	10%	788,750	73,750
Fees Received for Future Reg. Period (2017-18)	5,989	20%	1,497,250	50
Fees Received for Future Reg. Period (2018-19)	3,708	12%	927,000	
<b>Small Business Total</b>	<b>30,676</b>		<b>\$7,668,950</b>	<b>\$483,825</b>
<b>Other than Small Businesses:</b>				
Registration Fees Received for Current Reg. Period (2016-2017)	5,025	73%	\$12,665,125	\$121,200
Prior Years Registrations Received in Current Reg. Period (2016-2017)	641	9%	1,474,026	13,725
Fees Received for Future Reg. Period (2017-18)	757	11%	1,844,675	
Registration Fees Received for Future Reg. Period (2018-19)	486	7%	1,177,050	
<b>Other Than Small Businesses Total</b>	<b>6,909</b>		<b>\$17,160,876</b>	<b>\$134,925</b>
<b>Total Fee Received in FY 2016</b>				
Registration Fees Received for Current Reg. Period (2016-2017)	22,820	61%	\$17,121,075	\$531,225
Prior Years Registrations	3,825	10%	2,262,776	87,475

Received in Current Reg. Period (2016-2017)				
Registration Fees Received for Future Reg. Period (2017-18)	6,746	18%	3,341,925	50
Registration Fees Received for Future Reg. Period (2018-19)	4,194	11%	2,104,050	0
<b>Total FY 2016 Collections</b>	<b>37,585</b>		<b>\$24,829,826</b>	<b>\$618,750</b>
<b>Total Registration Fees Received During FY 2016</b>			<b>\$25,448,576</b>	

Registrants are allowed to pay prior registration fees owed. The table below shows the prior registration fees collected in FY 2016:

**Registration Fees for Prior Registration Years (1992 to 2016) collected during Fiscal Year 2016**

Registration Years	Number of Registrants	Registration Fees (\$)	Processing Fee (\$)
1992-1999	8	2,000	400
2000-2001	4	6,200	100
2001-2002	5	8,175	25
2002-2003	5	8,175	0
2003-2004	6	1,350	125
2004-2005	7	1,475	50
2005-2006	6	1,350	0
2006-2007	6	4,400	125
2007-2008	6	4,400	25
2008-2009	10	6,125	100
2009-2010	13	6,875	250
2010-2011	22	21,775	275
2011-2012	27	27,800	250
2012-2013	35	32,000	500
2013-2014	68	32,100	1,200
2014-2015	212	141,150	3,950
2015-2016	3,385	1,957,426	80,100
<b>Total Prior Years Registrations Received in Registration Year 2016-2017</b>	<b>3,825</b>	<b>\$2,262,776</b>	<b>\$87,475</b>

Registrants paid for the 2016 -2017 registration year in FY 2013, FY 2014, FY 2015 and FY 2016. More will be collected in FY 2017 and beyond for this registration period. As of September 30, 2016, \$23,579,450 has been collected for this registration year as shown below

**Registration Fees Collected for Registration Year 2016-2017**

	Registration Fees	Processing Fee
Registration Fees collected in FY 2013 for Registration Year 2016-2017	\$500	
Registration Fees collected in FY 2014 for Registration Year 2016-2017	\$2,082,400	

Registration Fees collected in FY 2015 for Registration Year 2016-2017	\$4,375,475	
Registration Fees collected in FY 2016 for Registration Year 2016-2017	\$17,121,075	\$531,225
<b>Total Collected For FY 2016-2017</b>	<b>\$23,579,450</b>	<b>\$531,225</b>

As of September 30, 2016, \$8,757,825 was collected for future registration years as shown in the table below:

**Registration Fees Collected in Advance:**

	Registration Fees
Registration Fees collected in FY 2015 for Registration Year 2017-2018	3,311,850
Registration Fees collected in FY 2016 for Registration Year 2017-2018	3,341,925
Registration Fees Collected in FY2016 for Registration Year 2018-2019	2,104,050
<b>Total Registration Fees Collected in Advance</b>	<b>\$8,757,825</b>